

Real-time scheduling for dynamic workshops with random new job insertions by using deep reinforcement learning

Sun, Z.Y.^{a,b}, Han, W.M.^{a,*}, Gao, L.L.^a

^aSchool of Economics and Management, Jiangsu University of Science and Technology, Zhenjiang, Jiangsu, P.R. China

^bSchool of Software, Pingdingshan University, Pingdingshan, Henan, P.R. China

ABSTRACT

Dynamic real-time workshop scheduling on job arrival is critical for effective production. This study proposed a dynamic shop scheduling method integrating deep reinforcement learning and convolutional neural network (CNN). In this method, the spatial pyramid pooling layer was added to the CNN to achieve effective dynamic scheduling. A five-channel, two-dimensional matrix that expressed the state characteristics of the production system was used to capture the state of the real-time production of the workshop. Adaptive scheduling was achieved by using a reward function that corresponds to the minimum total tardiness, and the common production dispatching rules were used as the action space. The experimental results revealed that the proposed algorithm achieved superior optimization capabilities with lower time cost than that of the genetic algorithm and could adaptively select appropriate dispatching rules based on the state features of the production system.

ARTICLE INFO

Keywords:

Real-time scheduling;
Machine learning;
Deep reinforcement learning (DRL);
Spatial pyramid pooling layer;
Artificial neural networks (ANN);
Convolutional neural networks (CNN)

*Corresponding author:

wlmh63@163.com
(Han, W.M.)

Article history:

Received 7 December 2022

Revised 6 June 2023

Accepted 25 June 2023



Content from this work may be used under the terms of the Creative Commons Attribution 4.0 International Licence (CC BY 4.0). Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.

References

- [1] Zhou, J., Li, P.G., Zhou, Y.H., Wang, B.C., Zang, J.Y., Meng, L. (2018). Toward new-generation intelligent manufacturing, *Engineering*, Vol. 4, No. 1, 11-20, [doi: 10.1016/j.eng.2018.01.002](https://doi.org/10.1016/j.eng.2018.01.002).
- [2] Wang, X.H., Duan, H.B. (2014). A hybrid biogeography-based optimization algorithm for job shop scheduling problem, *Computers & Industrial Engineering*, Vol. 73, 96-114, [doi: 10.1016/j.cie.2014.04.006](https://doi.org/10.1016/j.cie.2014.04.006).
- [3] Çaliş, B., Bulkan, S. (2015). A research survey: Review of AI solution strategies of job shop scheduling problem, *Journal of Intelligent Manufacturing*, Vol. 26, No. 5, 961-973, [doi: 10.1007/s10845-013-0837-8](https://doi.org/10.1007/s10845-013-0837-8).
- [4] Baykasoğlu, A., Karaslan, F.S. (2017). Solving comprehensive dynamic job shop scheduling problem by using a GRASP-based approach, *International Journal of Production Research*, Vol. 55, No. 11, 3308-3325, [doi: 10.1080/00207543.2017.1306134](https://doi.org/10.1080/00207543.2017.1306134).
- [5] Bokrantz, J., Skoogh, A., Ylipää, T., Stahre, J. (2016). Handling of production disturbances in the manufacturing industry, *Journal of Manufacturing Technology Management*, Vol. 27, No. 8, 1054-1075, [doi: 10.1108/IMTM-02-2016-0023](https://doi.org/10.1108/IMTM-02-2016-0023).
- [6] Zhang, F.F., Mei, Y., Nguyen, S., Zhang, M.J. (2020). Evolving scheduling heuristics via genetic programming with feature selection in dynamic flexible job-shop scheduling, *IEEE Transactions on Cybernetics*, Vol. 51, No. 4, 1797-1811, [doi: 10.1109/TCYB.2020.3024849](https://doi.org/10.1109/TCYB.2020.3024849).

- [7] Kundakci, N., Kulak, O. (2016). Hybrid genetic algorithms for minimizing makespan in dynamic job shop scheduling problem, *Computers & Industrial Engineering*, Vol. 96, 31-51, doi: [10.1016/j.cie.2016.03.011](https://doi.org/10.1016/j.cie.2016.03.011).
- [8] Cao, H.J., Zhou, J., Jiang, P., Hon, K.K.B., Yi, H., Dong, C.Y. (2020). An integrated processing energy modeling and optimization of automated robotic polishing system, *Robotics and Computer-Integrated Manufacturing*, Vol. 65, Article No. 101973, doi: [10.1016/j.rcim.2020.101973](https://doi.org/10.1016/j.rcim.2020.101973).
- [9] Ning, T., Huang, M., Liang, X., Jin, H. (2016). A novel dynamic scheduling strategy for solving flexible job-shop problems, *Journal of Ambient Intelligence and Humanized Computing*, Vol. 7, No. 5, 721-729, doi: [10.1007/s12652-016-0370-7](https://doi.org/10.1007/s12652-016-0370-7).
- [10] Fan, W., Zheng, L.Y., Ji, W., Xu, X., Lu, Y.Q., Wang, L.H. (2021). A machining accuracy informed adaptive positioning method for finish machining of assembly interfaces of large-scale aircraft components, *Robotics and Computer-Integrated Manufacturing*, Vol. 67, Article No. 102021, doi: [10.1016/j.rcim.2020.102021](https://doi.org/10.1016/j.rcim.2020.102021).
- [11] Zhang, S.C., Wong, T.N. (2017). Flexible job-shop scheduling/rescheduling in dynamic environment: A hybrid MAS/ACO approach, *International Journal of Production Research*, Vol. 55, No. 11, 3173-3196, doi: [10.1080/00207543.2016.1267414](https://doi.org/10.1080/00207543.2016.1267414).
- [12] Park, S.C., Raman, N., Shaw, M.J. (1997). Adaptive scheduling in dynamic flexible manufacturing systems: A dynamic rule selection approach, *IEEE Transactions on Robotics and Automation*, Vol. 13, No. 4, 486-502, doi: [10.1109/70.611301](https://doi.org/10.1109/70.611301).
- [13] Wang, Z., Zhang, J.H., Yang, S.X. (2019). An improved particle swarm optimization algorithm for dynamic job shop scheduling problems with random job arrivals, *Swarm and Evolutionary Computation*, Vol. 51, Article No. 100594, doi: [10.1016/j.swevo.2019.100594](https://doi.org/10.1016/j.swevo.2019.100594).
- [14] Caldeira, R.H., Gnanavelbabu, A., Vaidyanathan, T. (2020). An effective backtracking search algorithm for multi-objective flexible job shop scheduling considering new job arrivals and energy consumption, *Computers & Industrial Engineering*, Vol. 149, Article No. 106863, doi: [10.1016/j.cie.2020.106863](https://doi.org/10.1016/j.cie.2020.106863).
- [15] Ghaleb, M., Zolfagharinia, H., Taghipour, S. (2020). Real-time production scheduling in the Industry-4.0 context: Addressing uncertainties in job arrivals and machine breakdowns, *Computers & Operations Research*, Vol. 123, Article No. 105031, doi: [10.1016/j.cor.2020.105031](https://doi.org/10.1016/j.cor.2020.105031).
- [16] Tang, D.B., Dai, M., Salido, M.A., Giret, A. (2016). Energy-efficient dynamic scheduling for a flexible flow shop using an improved particle swarm optimization, *Computers in Industry*, Vol. 81, 82-95, doi: [10.1016/j.compind.2015.10.001](https://doi.org/10.1016/j.compind.2015.10.001).
- [17] Panwalkar, S.S., Iskander, W. (1977). A survey of scheduling rules, *Operations Research*, Vol. 25, No. 1, 45-61, doi: [10.1287/opre.25.1.45](https://doi.org/10.1287/opre.25.1.45).
- [18] Lu, M.-S., Romanowski, R. (2013). Multicontextual dispatching rules for job shops with dynamic job arrival, *International Journal of Advanced Manufacturing Technology*, Vol. 67, 19-33, doi: [10.1007/s00170-013-4765-8](https://doi.org/10.1007/s00170-013-4765-8).
- [19] Zhang, H., Roy, U. (2019). A semantics-based dispatching rule selection approach for job shop scheduling, *Journal of Intelligent Manufacturing*, Vol. 30, No. 7, 2759-2779, doi: [10.1007/s10845-018-1421-z](https://doi.org/10.1007/s10845-018-1421-z).
- [20] Zhang, F.F., Mei, Y., Zhang, M.J. (2019). A new representation in genetic programming for evolving dispatching rules for dynamic flexible job shop scheduling, In: Liefooghe, A., Paquete, L. (eds.), *Evolutionary Computation in Combinatorial Optimization. EvoCOP 2019. Lecture Notes in Computer Science*, Vol 11452. Springer, Cham, Switzerland, 33-49, doi: [10.1007/978-3-030-16711-0_3](https://doi.org/10.1007/978-3-030-16711-0_3).
- [21] Ferreira, C., Figueira, G., Amorim, P. (2022). Effective and interpretable dispatching rules for dynamic job shops via guided empirical learning, *Omega*, Vol. 111, Article No. 102643, doi: [10.1016/j.omega.2022.102643](https://doi.org/10.1016/j.omega.2022.102643).
- [22] Kaelbling, L.P., Littman, M.L., Moore, A.W. (1996). Reinforcement learning: A survey, *Journal of Artificial Intelligence Research*, Vol. 4, 237-285, doi: [10.1613/jair.301](https://doi.org/10.1613/jair.301).
- [23] Sutton, R.S., Barto, A.G. (2018). *Reinforcement learning: An introduction*, Second edition, MIT press, Cambridge, Massachusetts, USA.
- [24] Wang, Y.-C., Usher, J.M. (2004). Learning policies for single machine job dispatching, *Robotics and Computer-Integrated Manufacturing*, Vol. 20, No. 6, 553-562, doi: [10.1016/j.rcim.2004.07.003](https://doi.org/10.1016/j.rcim.2004.07.003).
- [25] Chen, X.L., Hao, X.C., Lin, H.W., Murata, T. (2010). Rule driven multi objective dynamic scheduling by data envelopment analysis and reinforcement learning, In: *Proceedings of 2010 IEEE International Conference on Automation and Logistics*, Hong Kong, China, 396-401, doi: [10.1109/ICAL.2010.5585316](https://doi.org/10.1109/ICAL.2010.5585316).
- [26] Qu, S.H., Wang, J., Shivani, G. (2016). Learning adaptive dispatching rules for a manufacturing process system by using reinforcement learning approach, In: *Proceedings of 2016 IEEE 21st International Conference on Emerging Technologies and Factory Automation (ETFA)*, Berlin, Germany, 1-8, doi: [10.1109/ETFA.2016.7733712](https://doi.org/10.1109/ETFA.2016.7733712).
- [27] Arulkumaran, K., Deisenroth, M.P., Brundage, M., Bharath, A.A. (2017). Deep reinforcement learning: A brief survey, *IEEE Signal Processing Magazine*, Vol. 34, No. 6, 26-38, doi: [10.1109/MSP.2017.2743240](https://doi.org/10.1109/MSP.2017.2743240).
- [28] Zhu, J., Wang, H., Zhang, T. (2020). A deep reinforcement learning approach to the flexible flowshop scheduling problem with makespan minimization, In: *Proceedings of 2020 IEEE 9th Data Driven Control and Learning Systems Conference (DDCLS)*, Liuzhou, China, 1220-1225, doi: [10.1109/DDCLS49620.2020.9275080](https://doi.org/10.1109/DDCLS49620.2020.9275080).
- [29] Luo, S. (2020). Dynamic scheduling for flexible job shop with new job insertions by deep reinforcement learning, *Applied Soft Computing*, Vol. 91, Article No. 106208, doi: [10.1016/j.asoc.2020.106208](https://doi.org/10.1016/j.asoc.2020.106208).
- [30] Yang, S., Xu, Z., Wang, J. (2021). Intelligent decision-making of scheduling for dynamic permutation flowshop via deep reinforcement learning, *Sensors*, Vol. 21, No. 3, Article No. 1019, doi: [10.3390/s21031019](https://doi.org/10.3390/s21031019).
- [31] Li, Y.X., Gu, W.B., Yuan, M.H., Tang, Y.M. (2022). Real-time data-driven dynamic scheduling for flexible job shop with insufficient transportation resources using hybrid deep Q network, *Robotics and Computer-Integrated Manufacturing*, Vol. 74, Article No. 102283, doi: [10.1016/j.rcim.2021.102283](https://doi.org/10.1016/j.rcim.2021.102283).
- [32] Liu, C.-L., Chang, C.-C., Tseng, C.-J. (2020). Actor-critic deep reinforcement learning for solving job shop scheduling problems, *IEEE Access*, Vol. 8, 71752-71762, doi: [10.1109/ACCESS.2020.2987820](https://doi.org/10.1109/ACCESS.2020.2987820).

- [33] Han, B.-A., Yang, J.-J. (2020). Research on adaptive job shop scheduling problems based on dueling double DQN, *IEEE Access*, Vol. 8, 186474-186495, [doi: 10.1109/ACCESS.2020.3029868](https://doi.org/10.1109/ACCESS.2020.3029868).
- [34] Wang, L.B., Hu, X., Wang, Y., Xu, S.J., Ma, S.J., Yang, K.X., Liu, Z.J., Wang, W.D. (2021). Dynamic job-shop scheduling in smart manufacturing using deep reinforcement learning, *Computer Networks*, Vol. 190, Article No. 107969, [doi: 10.1016/j.comnet.2021.107969](https://doi.org/10.1016/j.comnet.2021.107969).
- [35] He, K.M., Zhang, X.Y., Ren, S.Q., Sun, J. (2015). Spatial pyramid pooling in deep convolutional networks for visual recognition, *IEEE Transactions on Pattern Analysis and Machine Intelligence*, Vol. 37, No. 9, 1904-1916, [doi: 10.1109/TPAMI.2015.2389824](https://doi.org/10.1109/TPAMI.2015.2389824).